

Pakistan Stock Exchange Ltd

11 APR 2022

Amer Securities (Pvt) Ltd.

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TREC Holder Pakistan Stock Exchange Ltd.



Room # 620, Stock Exchange Building, 19-Khayaban-e-Aiwan-e-Iqbal Lahore.

Ph: (92-42) 36309687-90, 36280729-30

Email: amersecurities_147@hotmail.com, info@amer-securities.com

Web: www.amer-securities.com

AMER SECURITIES (PVT.) LIMITED**Quarterly Website Compliance Report**

For the quarter ended March 31, 2022

Annexure-A'

Description	Compliant	Non-Compliant	Reasons for Non-Compliance
Section on "About Us / Profile"	YES	X	X
Vision & Mission and details of permissible business activities	YES	X	X
Brief Introduction of the company including ITS form, incorporation, including license details	YES	X	X
Management Profile	YES	X	X
Details of Associated Companies, if any	YES	X	X
Management Rating	N/A	X	X
Name of Auditors/Legal Advisors of the company	YES	X	X
(Names Only) Address of the registered office, Head Office/Principal Office, branches etc.	YES	X	X
Contact details including phone no., fax no., email address etc.	YES	X	X
Services	YES	X	X
Details of registered agents with their contacts, branches with addresses	N/A	X	X
Section on "Governance"	YES	X	X
Board of Directors	YES	X	X
Profile of Board of Directors	YES	X	X
Details of Sponsors along with shareholding pattern of the Company	YES	X	X
Details of Audit Committee	YES	X	X
Section on "Investor Information"	YES	X	X
Annual/Half yearly/Quarterly Financial Statements (For current Financial Year as well as last five years)	YES	X	X
Statutory Auditor details (Including addresses, contacts and ICAP/SBP ratings)	YES	X	X
NCB auditor details along with NCB for last 05 years	YES	X	X
Policies & Procedures: CDD/KYC Policy, etc.	YES	X	X
Account opening form	YES	X	X
Section on "Investors Portal"	YES	X	X
Investor Grievances	YES	X	X
Pending investor complaints against the brokerage firms	YES	X	X
Contact details of person(s) designated to handle investors complaints, if any	YES	X	X
Details of penal action taken by Exchanges & SECP against the brokerage firms	YES	X	X
SECP Online Complaint Lodge Link http://www.secp.gov.pk/form_complaint_online.asp	YES	X	X
PSX Online Complaint Lodge Link http://csir.psx.com.pk/?type=2	YES	X	X
Feedback Form	YES	X	X



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Section on "Investor Education Program / Guide"	YES	X	X
The web link of SECP investor education program "Jamapunji" along with its logo & slogan should be placed at the prominent place on home page of websites of brokerage companies as amandatory requirement.	YES		
Section on "Miscellaneous"	YES	X	X
Useful links	YES	X	X
Media	YES	X	X
Research	YES	X	X
FAQ	YES	X	X
Search	YES	X	X

Undertaking with the Brokerage House:

We hereby declare that the information furnished in this statement is correct and complete and understand that we shall be bound to submit to Pakistan Stock Exchange Limited (PSX) all relevant information and for supporting documents(s) that the PSX may require to verify the above information at any time. We further acknowledge and agree that in case of non-submission of this statement or submission of incorrect, inaccurate or misleading information in this statement, the PSX shall initiate disciplinary actions(s) in accordance with relevant provisions of PSX Regulations.



Amer Securities (Pvt.) Ltd

Date :- 11/4/2022